

(For Use With Bonds up to \$500,000., otherwise use form F-4970)

## **APPLICATION**

1. Legal Name of Plan (See IRS form 5500C) Address	
2. Bond Amount \$	3. Number of Trustees
4. Any Prior Loss history?	Check, if None  or Explanation:
5. Agent Name & Location:	

## THIS WARNING IS PART OF YOUR APPLICATION, READ IT CAREFULLY GENERAL FRAUD AND STATE SPECIFIC FRAUD STATEMENTS

The General Fraud Statement is applicable to all states except Colorado, District of Columbia, Florida, Hawaii, Kansas, Massachusetts, Minnesota, Nebraska, Ohio, Oklahoma, Oregon, Utah, Vermont and Washington. The State Specific Fraud Statement are also listed. Please read the Fraud Statement that is applicable for your State, and acknowledge receipt by signing below it (if required). Consult your agent if you have any questions about your application for the ERISA or Business Service Bond.

## GENERAL FRAUD STATEMENT

(Applicable in all states except as listed below)

Any person who knowingly and with intent to defraud any insurance company or another person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime and subjects the person to criminal and [NY: substantial] civil penalties. (Not applicable in CO, DC, FL, HI, KS, MA, MN, NE, OH, OK, OR, UT, VT or WA; in LA, ME, TN and VA, insurance benefits may also be denied.

APPLICABLE IN COLORADO - FRAUD STATEMENT	
It is unlawful to knowingly provide false, incomplete, or misleading facts or information to ar defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, der insurance company or agent of an insurance company who knowingly provides false, incomplete, or holder or claimant for the purpose of defrauding or attempting to defraud the policy holder or claim payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the D	nial of insurance and civil damages. Any misleading facts or information to a policy mant with regard to a settlement or award
Applicant's Signature	Date (MM/DD/YY)
APPLICABLE IN DISTRICT OF COLUMBIA - FRAUD STATE	MENT
WARNING: It is a crime to provide false or misleading information to an insurer for the purpose of openalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits, it claim was provided by the applicant.	
Applicant's Signature	Date (MM/DD/YY)
APPLICABLE IN FLORIDA - FRAUD STATEMENT	
Any person who knowingly and with intent to injure, defraud, or deceive any insurer files a statement false, incomplete, or misleading information is guilty of a felony of the third degree.	t of claim or an application containing any
Applicant's Signature	Date (MM/DD/YY)
APPLICABLE IN HAWAII - FRAUD STATEMENT	
For your protection, Hawaii law requires you to be informed that presenting a fraudulent claim fo punishable by fines or imprisonment, or both.	r payment of a loss or benefit is a crime
Applicant's Signature	Date (MM/DD/YY)
APPLICABLE IN KANSAS - FRAUD STATEMENT	
Any person who, knowingly and with intent to defraud, presents, causes to be presented or prepare presented to or by an insurer, purported insurer, broker or any agent thereof, any written statement of the issuance of, or the rating of an insurance policy for personal or commercial insurance, or a club to an insurance policy for commercial or personal insurance which such person knows to contain material thereto; or conceals, for the purpose of misleading, information concerning any fainsurance act.	as part of, or in support of, an application aim for payment or other benefit pursuant aterially false information concerning any

Date (MM/DD/YY)

Applicant's Signature

## APPLICABLE IN MASSACHUSETTS, NEBRASKA, OREGON AND VERMONT - FRAUD STATEMENT

Any person who knowingly and with intent to defraud any insurance company or another person files are of claim containing any materially false information, or conceals for the purpose of misleading information, may be committing a fraudulent insurance act, which may be a crime and may subject the person	ormation concerning any fact material
Applicant's Signature	Date (MM/DD/YY)
APPLICABLE IN MINNESOTA - FRAUD STATEMEN	Т
Any person who files a claim with intent to defraud or helps commit a fraud against an insurer is guilty or	of a crime.
Applicant's Signature	Date (MM/DD/YY)
APPLICABLE IN OHIO - FRAUD STATEMENT	
Any person who, with intent to defraud or knowing that he/she is facilitating a fraud against an insurer, containing a false or deceptive statement is guilty of insurance fraud.	submits an application or files a claim
Applicant's Signature	Date (MM/DD/YY)
WARNING: Any person who knowingly, and with intent to injure, defraud or deceive any insurer, manuscrance policy containing any false, incomplete or misleading information is guilty of a felony.  Applicant's Signature	Date (MM/DD/YY)
APPLICABLE IN UTAH - FRAUD STATEMENT	
For your protection, Utah law requires the following to be included in this application: "Any per fraudulent underwriting information, files or causes to be filed a false or fraudulent claim for disability submits a false or fraudulent report or billing for health care fees or other professional services is guilty and confinement in state prison.	y compensation or medical benefits, or
Applicant's Signature	Date (MM/DD/YY)
APPLICABLE IN WASHINGTON - FRAUD STATEMEN	TT
It is a crime to knowingly provide false, incomplete, or misleading information to an insurance company. Penalties include imprisonment, fines, and denial of insurance benefits.	pany for the purpose of defrauding the
Applicant's Signature	Date (MM/DD/YY)